

## **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

METHOD AND SYSTEM FOR FACIAL RECOGNITION **BIOMETRICS ON A FOB** 

**Application Number:** 

10/708826

Confirmation Number:

2825

First Named Applicant: David Bonalle

Attorney Docket Number: 60655.8700

Art Unit:

2635

Search string:

( 6237848 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init C	ite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
M	1	6237848	2001-05-29	Everett			

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Page 1 of 1 APP\_ID=10708826

Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Co	omplete if Known
Application Number	10/708,826
Filing Date	March 26, 2004
First Named Inventor	David Bonalle
Art Unit	7635
Examiner Name	THE N. NGUYEN
Attorney Docket Number	60655.8700

				U.S. PAT	ENT DOCUMENTS	
Examiner Initials	Cite No.1	Document Number	Number  Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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IN	X	СН	CH689070		08/31/1998	Peter Bresch		
1	X	EP	0 358 525	A2	03/14/1990	W&T Avery Limited		
		EP	0 424 726	Αľ	10/10/1990	Angewandte Digital Elec.		
	6	PCT	WO 99/03057	Al	01/21/1999	Robert E. Dorf		
	<b>x</b>	EP	EP 0 933 717	A2	08/04/1999	Citicorp Development Center		
	1	EP	EP 0 956 818	A1	11/17/1999	Citicorp Development Center		
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT BY APPL	LICANT	First Named Inventor	David Bonalle			
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Sheet 2 of	11	Attorney Docket Number	60655.8700			

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Examiner nitials*	Cite No.1	Document Number	Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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M	×	PCT	WO 00/10144	A1	02/24/2000	3M Innovative Properties Co		
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·	>	EP	EP 0 984 404	A2	03/08/2000	IBM		
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	R	EP	EP 1 039 403	A2	09/27/2000	Citicorp Development Center		
	ベ	ЛР	2001-5931	A	01/12/2001	Unknown		
	Z	PCT	WO 01/04825	Al	01/18/2001	Intermec IP Corp.		
	X	PCT	WO 01/15098	AI	03/01/2001	Gamut Interactive		

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	X	PCT	WO 01/15098	Al	03/01/2001	Gamut Interactive		
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	次	PCT	WO 01/43095	A2	06/14/2001	Intermec IP Corp.		
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	K	PCT	Wo 01/72224	Al	10/04/2001	Wolf Fernlund		
	X	JP	2001283122	A	10/12/2001	Dainippon Printing Co., Ltd.		
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W	X	PCT	WO 01/90962	Al	11/29/2001	Grosvenor Leisure Inc.		

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	ズ	PCT	WO 02/01485	A1	01/03/2002	Smartclic Corporation		
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	Х	PCT	WO 02/063545	A2	08/15/2002	Sensormatic Electronics Corp		
	χ	PCT	WO 02/065246	A2	08/22/2002	Stockback Holdings, Inc.		
	X	PCT	WO 02/065404	A2	08/22/2002	Stuart Williams		
	Х	PCT	WO 02/069221	Al	09/06/2002	Frequency Marketing, Inc.		
	入	PCT	WO 02/073512	Al	09/19/2002	3M Innovative Properties Co.		

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1	2	PCT	WO 02/086665	A2	10/31/2002	United States Postal Service		
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	×	PCT	WO 02/097575	A2	12/05/2002	American Express TRS Co.		
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OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the acticle (when appropriate), title of the							
Examiner nitials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T				
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Examiner	1) Musler	Date	11/19/05
Signature		Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of Information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitut	te for form 1449B/	PTÖ		Complete if Known		
				Application Number	10/708,826	
INFO	DRMATIC	on di	SCLOSURE	Filing Date	March 26, 2004	
STA	TEMEN!	r BY	APPLICANT	First Named Inventor	David Bonalle	
VIA.				Art Unit	TBD	
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Substitu	te for form 1449B/	PTO		Complete if Known		
				Application Number	10/708,826	
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Sheet	9	of	11	Attorney Docket Number	60655.8700	

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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	72
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PTO/SB/08B (08-03)
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Substitute	e for form 1449B/	PTO		Complete if Known			
				Application Number	10/708.826		
INFO	PRMATIC	DN DIS	SCLOSURE	Filing Date	March 26, 2004		
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INFORMATION DISCLOSURE  STATEMENT BY APPLICANT  (use as many sheets as necessary)  Filing Date  First Named  Art Unit  Examiner Named  Examiner Named	Con	Complete if Known				
				Application Number	.10/708.826	
INFC	PRIMATION	on dis	CLOSURE	Filing Date	March 26, 2004	
STA	TEMENT	T BY A	PPLICANT	First Named Inventor	David Bonalle	
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	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS								
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
M		"Smart Card Developer's Kit: Some Basic Standards for Smart Cards", http://doi.org/docs/smart-card-developer-kit/ch03/033-035.html, 2/9/04, 2 pages							
Examine: Signature		Date Considered U/19/0	,,						

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#### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

METHOD AND SYSTEM FOR FACIAL RECOGNITION BIOMETRICS ON A FOB

**Application Number:** 

10/708, 226

Confirmation Number:

First Named Applicant:

**David Bonalle** 

Attorney Docket Number:

60655.8700

Art Unit:

2635

Examiner:

N. WGUYEN

Search string:

or 5101200 or 5197140 or 5212777 or 5221838 or 5222282 or 5226989 or 5239654 or 5247304 or 5274392 or 5285100 or 5305002 or 5329617 or 5331138 or 5339447 or 5349357 or 5351052 or 5371896 or 5373303 or 5408243 or 5410649 or 5428363 or 5453747 or 5471592 or 5485510 or 5488376 or 5489411 or 5489908 or 5490079 or 5491483 or 5491484 or 5491715 or 5493312 or 5497121 or 5500651 or 5513525 or 5519381 or 5522083 or 5525992 or 5525994 or 5530232 or 5541604 or 5543798 or 5544246 or 5548291 or 5550536 or 5550548 or 5552789 or 5557279 or 5557516 or 5561430 or 5563582 or 5569187 or 5577109 or 5581630 or 5592150 or 5592405 or 5594448 or 5597534 or 5600175 or 5602538 or 5602919 or 5606520 or 5607522 or 5608406 or 5613146 or 5619207 or 5621396 or 5621411 or 5621412 or 5625366 or 5625370 or 5629981 or 5638080 or 5640002 or 5646607 or 5657388 or 5660319 or 5673106 or 5675342 or 5686920 or 5691731 or 5692132 or 5696913 or 5698837 or 5699528 or 5701127 or 5704046 or 5705798 or 5721781 or 5729053 or 5729236 or 5731957 or 5732579 or 5748137 or 5748737 or 5758195 or 5761306 or 5761493 or 5768609 or 5774882 or 5777903 or 5778067 or 5785680 or 5792337 or 5793324 or 5794095 or 5797060 or 5797133 or 5798709 or 5809142 or 5809288 or 5825007 or 5825302 or 5826077 or 5828044 or 5841364 or 5842088 or 5844218 or 5845267 or 5851149 or 5854891 or 5858006 or 5859779 or 5864323 or 5867100 or 5870031 or 5870915 or 5878215 or 5878403 or 5880675 or 5881272 or 5890137 or 5898783 or 5903830 or 5905798 or 5912678 or 5920628 or 5929801 or 5931917 or 5933624 or 5948116 or 5953512 or 5955717 or 5955969 or 5956024 or 5963924 or 5970148 or 5978840 or RE36365 or 5983208 or 5987140 or 5987155 or 5987498 or 5989950 or 5991750 or 5996076 or 6002438 or 6002767 or 6003014 or 6005942 or 6006216 or 6012049 or 6014645 or 6018717 or 6029149 or 6038584 or 6052675 or 6064320 or 6070003 or 6072870 or 6073840 or 6088686 or 6092057 or RE36788 or 6101174 or 6102162 or 6102672 or 6105008 or 6105013 or 6105865 or 6109525 or 6112152 or 6115360 or 6116423 or 6116505 or 6118189 or 6123223 or 6129274 or 6133834 or 6141651 or 6167236 or 6177860 or 6179205 or 6179206 or 6188994 or 6192255 or 6198875 or 6202927 or 6205151 or 6215437 or 6216219 or 6219439 or 6223984 or 6230270 or 6232917 or D442627 or D442629 or 6239675 or 6240187 or 6257486 or 6259769 or 6260026 or 6260088 or 6264106 or 6266754 or 6273335 or 6282522 or D447515 or 6286763 or 6289324 or 6315193 or 6317721 or 6318636 or 6323566 or 6325285 or 6326934 or 6342844 or 6364208 or 6367011 or 6377034 or 6388533 or 6390375 or 6400272 or 6402028 or 6415978 or 6422464 or 6473500 or 6480100 or 6481621 or 6481632 or 6484937 or 6529880 or 6546373 or 6549912 or 6578768 or 5594233 or 5604342 or 5608778 or 5625695 or 5887266 or 5943624 or 6024286 or 6047888 or 6078888 or 6121544 or 6226382 or 6424029 or 6422532 or 6480101 or 6490443 or 6491229 or 6510998 or 6513015 or 6535726 or 6547133 or 6577229 or 6581839 or 6588660 or 6589119 or 6609655 or 6626356 or 5797085 or 5834756 or 5991608 or 6198728 or 6206293 or 6233683 or 6411611 or 6510983 or 6560581

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or 6665405 or 6674786 or 6679427 or 6687714 or 6681328 or 6669086 or 6662166 or 6519565 or 6483929 or 6269348 or 6012636 or 6012039 or 5835894 or 20010034565 or 20020028704 or 20020052839 or 20020074398 or 20020077895 or 20020079367 or 20020095587 or 20020109580 or 20020111210 or 20020113082 or 20020116274 or 20020126010 or 20020140542 or 20020145043 or 20020147913 or 20020166891 or 20020178063 or 20020178369 or 20020190125 or 20030009382 or 20030014891 or 20030025600 or 20030046228 or 20030069846 or 20010024157 or 20020062284 or 20020077992 or 20020107007 or 20030163699 or 20030167207 or 20010013542 or 20010039617 or 20020011519 or 20020077837 or 20020092914 or 20020095343 or 20020095389 or 20020107742 or 20020131567 or 20020138438 or 20020148892 or 20020152123 or 20020176522 or 20020185543 or 20020188501 or 20020194303 or 20020194503 or 20020196963 or 20030014307 or 20030014357 or 20030018532 or 20030057226 or 20030057278 or 20030069828 or 20030112972 or 20030121969 or 20030177347 or 20030183689 or 20030187786 or 20030187787 or 20030187790 or 20030187796 or 20030195842 or 20030195843 or 20030200184 or 20030218066 or 20030220876 or 20030222153 or 20030225623 or 20030225713 or 20030227550 or 20030233334 or 20040010462 or 20040015451 or 20040029569 or 20020111917 or 20020154795 or 20020174067 or 20030167207 or 20040020982 ).pn

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	86	5675342	1997-10-07	Sharpe	
	87	5686920	1997-11-11	Hurta, et al.	
	88	5691731	1997-11-25	vanErven	
	89	5692132	1997-11-25	Hogan	
	90	5696913	1997-12-09	Gove, et al.	
	91	5698837	1997-12-16	Furuta	
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	93	5701127	1997-12-23	Sharpe	
	94	5704046	1997-12-30	Hogan	
	95	5705798	1998-01-06	Tarbox	
	96	5721781	1998-02-24	Deo, et al.	
	97	5729053	1998-03-17	Orthmann	
	98	5729236	1998-03-17	Flaxi	
	99	5731957	1998-03-24	Brennan	
	100	5732579	1998-03-31	d'Hont, et al.	
	101	5748137	1998-05-05	d'Hont	
	102	5748737	1998-05-05	Daggar	
	103	5758195	1998-05-26	Balmer	
	104	5761306	1998-06-02	Lewis	
	105	5761493	1998-06-02	Blakeley, et al.	
	106	5768609	1998-06-16	Gove, et al.	
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	108	5777903	1998-07-07	Piosenka	<del>                                     </del>
	109	5778067	1998-07-07	Jones, et al.	
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	111	5792337	1998-08-11	Padovani, et al.	
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	113	5794095	1998-08-11	Thompson	
	114	5797060	1998-08-18	Thompson	<del>                                     </del>
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7.1	117	5809142	1998-09-15	Hurta, et al.	
	118	5809288	1998-09-15	Balmer	
	119	5825007	1998-10-20	Jesadanont	
	120	5825302	1998-10-20	Stafford	
	121	5826077	1998-10-20	Blakeley, et al.	
	122	5828044	1998-10-27	Jun, et al.	
	123	5841364	1998-11-24	Hagl, et al.	
	124	5842088	1998-11-24	Thompson	
	125	5844218	1998-12-01	Kawan, et al.	
	126	5845267	1998-12-01	Ronen	
	127	5851149	1998-12-22	Xidos, et al.	
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	129	5858006	1999-01-12	Van der AA, et	
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	130	5859779	1999-01-12	Giordano, et al.	 
	131	5864323	1999-01-26	Berthon	 
	132	5867100	1999-02-02	d'Hont	 
	133	5870031	1999-02-09	Kaiser, et al.	
	134	5870915	1999-02-16	d'Hont	 •
	135	5878215	1999-03-02	Kling, et al.	
	136	5878403	1999-03-02	DeFrancesco, et al.	
	137	5880675	1999-03-09	Trautner	
	138	5881272	1999-03-09	Balmer	
	139	5890137	1999-03-30	Koreeda	
	140	5898783	1999-04-27	Rohrbach	
	141	5903830	1999-05-11	Joao, et al.	
	142	5905798	1999-05-18	Nerlikar, et al.	
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	145	5929801	1999-07-27	Aslanidis, et al.	
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	147	5933624	1999-08-03	Balmer	
	148	5948116	1999-09-07	Aslanidis, et al.	
	149	5953512	1999-09-14	Cai, et al.	
	150	5955717	1999-09-21	Vanstone	
	151	5955969	1999-09-21	d'Hont	
	152	5956024	1999-09-21	Strickland, et al.	
	153	5963924	1999-10-05	Willliams, et al.	
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	155	5978840	1999-11-02	Nguyen, et al.	
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	193	6118189	2000-09-12	FlaxI	
	194	6123223	2000-09-26	Watkins	
	195	6129274	2000-10-10	Suzuki	
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	216	6240187	2001-05-29	Lewis	
	217	6257486	2001-07-10	Teicher, et al.	
	218	6259769	2001-07-10	Page	
	219	6260026	2001-07-10	Tomida, et al.	
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	236	6367011	2002-04-02	Lee, et al.	
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U/	1	20010034565	2001-10-25	Leatherman			
1	2	20020028704	2002-03-07	Bloomfield, et al.			
	3	20020052839	2002-05-02	Takatori			
	4	20020074398	2002-06-20	Lancos, et al.			
	5	20020077895	2002-06-20	Howell			
	6	20020079367	2002-06-27	Montani			
	7	20020095587	2002-07-18	Doyle, et al.			
	8	20020109580	2002-08-15	Shreve, et al.			
	9	20020111210	2002-08-15	Luciano, Jr., et al.			
	10	20020113082	2002-08-22	Leatherman, et al.			
	11	20020116274	2002-08-22	Hind, et al.		ï	
	12	20020126010	2002-09-12	Trimble, et al.			
	13	20020140542	2002-10-03	Prokoski, et al.			
	14	20020145043	2002-10-10	Challa, et al.			
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	56	20030121969	2003-07-03	Wankmueller	
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W	<i>7</i> 9	20030167207	2003-09-04	Berardi, et al.		
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# Signature

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Muser	11/19/05